

FORM PTO-1449

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Atty Docket No.

Serial No.

P1053R2

08/948,149

Applicant

Fendly et al.

Filing Date

09 Oct 1997

Group

1641

LIST OF DISCLOSURES CITED BY APPLICANT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
DRS	126	4,935,341	19.06.90	Bargmann et al.	475	6	
DRS	127	5,288,477	22.02.94	Bacus, S.	424	2	
MWS	128	5,401,638	28.03.95	Carney et al.	435	7.23	
DRS	129	5,578,482	26.11.96	Lippman et al.	435	240.1	
MWS	130	5,604,107	18.02.97	Carney et al.	435	7.23	
DRS	131	5,663,144	02.09.97	Greene et al.	514	14	03.05.95
MWS	132	5,705,157	06.01.98	Greene	424	138.1	
DRS	133	5,726,023	10.03.98	Cheever et al.	435	7.1	06.06.95
MWS	134	5,728,687	17.03.98	Bissery	514	90	
DRS	135	5,801,005	01.09.98	Cheever et al.	435	7.24	31.03.95
MWS	136	5,837,243	17.11.98	Deo et al.	424	126.1	
DRS	137	5,837,523	17.11.98	Greene et al.	435	320.1	
MWS	138	5,846,538	08.12.98	Cheever et al.	424	185.1	
DRS	139	5,869,445	09.02.99	Cheever et al.	514	2	
MWS	140	5,876,712	02.03.99	Cheever et al.	424	93.7	
MWS	141	5,877,305	02.03.99	Huston et al.	536	23.53	
MWS	142	5,908,835	01.06.99	Bissery	514	33	
MWS	143	5,910,486	08.01.99	Curiel et al.	514	414	
DRS	144	5,922,845	13.07.99	Deo et al.	530	387.3	
MWS	145	5,939,531	17.08.99	Wels et al.	530	387.3	
DRS	146	5,977,322	02.11.99	Marks et al.	530	388.85	
MWS	147	6,054,297	25.04.00	Carter et al.	435	69.6	
DRS	148	6,123,939	26.09.00	Shawver et al.	424	130.1	

FOREIGN PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation Yes	No
DRS	149	616,812	28.09.94	EPO	—	—		
DRS	150	711,565	26.08.98	EPO	—	—		
DRS	151	2,895,105B2	21.08.91	JAPAN (ENGLISH ABSTRACT AND CLAIMS)	—	—		
MWS	152	2761543B2	08.06.90	JAPAN (ENGLISH ABSTRACT AND CLAIMS)	—	—		
MWS	153	95006982B2	19.05.87	JAPAN (ENGLISH ABSTRACT AND CLAIMS)	—	—		
MWS	154	3-240498	25.10.91	JP (ENGLISH ABSTRACT ATTACHED)	—	—		
MWS	155	5-117165	14.05.93	JP (ENGLISH ABSTRACT ATTACHED)	✓	✓		
MWS	156	5-170667	09.07.93	JP (ENGLISH ABSTRACT ATTACHED)	✓	✓		
MWS	157	5-213775	24.08.93	JP (ENGLISH ABSTRACT ATTACHED)	✓	✓		
MWS	158	5-317084	03.12.93	JP (ENGLISH ABSTRACT ATTACHED)	✓	✓		

Examiner

DRS

Date Considered

11-7-00

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FOREIGN PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation Yes No
MLG	159	7-59588	07.03.95	JP (ENGLISH ABSTRACT ATTACHED)	—	—	
MLG	160	WO 92/10573	25.06.92	PCT <i>w 1 P0</i>	—	—	
MLG	161	WO 93/12220	24.06.93	PCT	—	—	
MLG	162	WO 93/21232	28.10.93	PCT	—	—	
MLG	163	WO 93/21319	28.10.93	PCT	—	—	
MLG	164	WO 94/28127	08.12.94	PCT	—	—	
MLG	165	WO 95/16051	15.06.95	PCT	—	—	
MLG	166	WO 95/17507	29.06.95	PCT	—	—	
MLG	167	WO 95/28485	26.10.95	PCT	—	—	
MLG	168	WO 96/18409	20.06.96	PCT	—	—	
MLG	169	WO 97/27848	07.08.97	PCT	—	—	
MLG	170	WO 97/38731	23.10.97	PCT	—	—	
MLG	171	WO 98/45479	15.10.98	PCT	—	—	

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, etc.)

MLG	172	Baselga et al., "Anti HER2 Humanized Monoclonal Antibody (Mab) Alone and in Combination with Chemotherapy Against Human Breast Carcinoma Xenografts" <u>Proceedings of ASCO-13th Annual Meeting</u> (Abstract #53), Dallas, TX 13:63 (Mar 1994)
MLG	173	Baselga et al., "HER2 overexpression and paclitaxel sensitivity in breast cancer: therapeutic implications" <u>Oncology</u> 11(3 Suppl 2):43-48 (Mar 1997)
MLG	174	Baselga et al., "Monoclonal antibodies directed against growth factor receptors enhance the efficacy of chemotherapeutic agents" <u>Annals of Oncology</u> (abstract #010) 5(Suppl. 5) (1994)
MLG	175	Baselga et al., "Phase II Study of Weekly Intravenous Recombinant Humanized Anti-p185 ^{HER2} Monoclonal Antibody in Patients With HER2/neu-Overexpressing Metastatic Breast Cancer" <u>J. Clin. Oncol.</u> 14(3):737-744 (Mar 1996)
MLG	176	Baselga et al., "Recombinant Humanized Anti-HER2 Antibody (Herceptin) Enhances the Antitumor Activity of Paclitaxel and Doxorubicin against HER2/neu Overexpressing Human Breast Cancer Xenografts" <u>Cancer Research</u> 58:2825-2831 (July 1998)
MLG	177	"Herceptin (Trastuzumab)" <u>Product Information</u> (2000)
MLG	178	Hynes and Stern, "The biology of erbB-2/neu/HER-2 and its role in cancer" <u>Biochimica et Biophysica Acta</u> 1198(2-3):165-184 (Dec 30, 1994)
MLG	179	Mendelsohn et al., "Receptor blockade and chemotherapy: a new approach to combination cancer therapy" <u>Annals of Oncology</u> (abstract #040) 7(Suppl. 1):22 (1996)
MLG	180	Norton, L, "Evolving concepts in the systemic drug therapy of breast cancer" <u>Seminars in Oncology</u> 24(4 Suppl 10):S10-3-S10-10 (Aug 1997)
MLG	181	Pegram et al., "Inhibitory effects of combinations of HER-2/neu antibody and chemotherapeutic agents used for treatment of human breast cancers" <u>Oncogene</u> 18:2241-2251 (1999)
MLG	182	Raefsky et al., "Phase II Trial of Docetaxel and Herceptin as First- or Second-Line Chemotherapy for Women with Metastatic Breast Cancer Whose Tumors Overexpress HER2" <u>Proceedings of ASCO</u> (Abstract #523) 18:137a (1999)

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